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Substitute for form 1449B/PTO Complete if Known **Application Number** 10/044,964 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date 01/15/2002 1 3 2002 First Named Inventor Sumio OGAWA et al. Date Submitted: August 13, 2002 **Group Art Unit** 2121 use as many sheets as necessary) **Examiner Name** Unassigned of 1 **Attorney Docket Number** 088941-0203 1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
	A1	6,143,584		FUKADA et al.	11/07/2000	
	A2	5,294,812		HASHIMOTO et al.	03/15/1994	
	A3	5,844,850		TSUTSUI et al.	12/01/1998	FCFIVED
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	A6	5,301,143		OHRI et al.	04/05/1994	AUG 1 4 2002
					Tochr	ology/Contago
					ICVIII	ology Center, 2100

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Cigures Appea	T6
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	A7	SEIICHIRO, "Semiconductor Device and its Manufacture," Patent Abstracts of Japan, Vol. 1999, No. 5, May 31, 1999, JP 11 045839, February 16, 1999, Denso Corp., Abstract.					
	A8	KIBARIAN et al., "Using Spatial Information to Analyze Correlations Between Test Structure Data," IEEE Transactions on Semiconductor Manufacturing, Vol. 4, No. 3, August 1991, pages 219-225, XP002201314.					

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ATTORNEY DOCKET NO. 088941-0203



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AUG 1 4 2002

**Technology Center 2100** 

Applicant:

Sumio OGAWA et al.

Title:

METHOD OF MANUFACTURING SEMICONDUCTOR DEVICES

Appl. No.:

10/044,964

Filing Date:

01/15/2002

Examiner:

Unassigned

Art Unit:

2121

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents Box PATENT APPLICATION Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

#### TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of the first Office Action on the merits.

### RELEVANCE OF EACH DOCUMENT

Document A1 is an English equivalent of the Japanese application set forth in the Patent Abstract of Document A7.

Documents A2-A8 came to Applicants' attention during a search of the corresponding European application. A copy of the Partial European Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### **STATEMENT**

The undersigned hereby states in accordance with 37 C.F.R. §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Attorney for Applicant Registration No. 26,257

Midul Malinkin Rea No. 34.717

August 13, 2002

Date

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